

# DIVISION OF MICROBIOLOGY AND INFECTIOUS DISEASES (DMID)

## SERIOUS ADVERSE EVENT FORM

CROMS PVG SAE HOTLINE: 1-800-537-9979 (USA) or 301-897-1709 (International)

CROMS PVG SAE FAX LINE: 1-800-275-7619 (USA) or 301-897-1710 (International)

CROMS PVG EMAIL: [PVG@dmidcroms.com](mailto:PVG@dmidcroms.com)

Please fill out ALL required fields in bold or marked by an asterisk before submission.

**\*DMID Protocol #:**

**\*DMID Participant ID:**

Site Name:

Site SAE Awareness Date:

Initial Report Date:

Follow-up #

Date:

### 1. **\*PARTICIPANT INFORMATION**

**\* Sex:** Enter the patient's sex at birth.(the sex the patient has or was assigned to at birth)

Male

Female

**\*Age:** ☐ Days ☐ Weeks ☐ Months ☐ Years Weight: ☐ lbs ☐ kg

*If neonate: Gestational age at birth:*

*Birth weight:* lbs kg

APGAR scores (1min/5min/10min): / /

*If SAE occurred in an infant: Participant ID above refers to:* ☐ Mother OR ☐ Infant

**\*Ethnicity:** ☐ Hispanic/Latino ☐ Not Hispanic/Latino

**\*Race:-** Check all that apply

☐ American Indian or Alaskan Native ☐ Asian ☐ Black or African American ☐ Native Hawaiian or other Pacific Islander ☐ White

### 2. **\*SAE CATEGORY (CHECK ALL THAT APPLY) (21 CFR 312.32(a))**

☐ Death

☐ Life-threatening (immediate risk of death)

☐ Hospitalization/prolongation of existing hospitalization

*Admission Date*

*Discharge Date*

☐ Congenital anomaly/birth defect

☐ Persistent or significant incapacity or substantial disruption of the ability to conduct normal life functions

☐ Important medical event

☐ Required intervention to Prevent Permanent Impairment/Damage

Other Reporting Requirement:

AESI (Adverse Event of Special Interest)

MAAE (Medically Attended Adverse Event)

NOCMC (New Onset Chronic Medical Condition)

PIMMC (Potentially Immune Mediated Medical Condition)

UP (Unanticipated Problem)

Others:

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### 3. \*SAE INFORMATION (Enter ONE event term per SAE form)

Additional Study Products Attached

*SAE Term (Single medical concept or Final diagnosis)	*Onset Date (DD-MMM-YYYY)	*Severity	*Relationship to Study Product or Intervention	Alternate Etiology (If Not Related to any Study Product, Related to)
		<input type="checkbox"/> Mild  <input type="checkbox"/> Moderate  <input type="checkbox"/> Severe  <input type="checkbox"/> Life-Threatening  <input type="checkbox"/> Death	Study Product 1	<input type="checkbox"/> Study procedure:   <input type="checkbox"/> Other condition/illness:   <input type="checkbox"/> Another drug:   <input type="checkbox"/> Other:
			Not Related Related	
			Study Product 2	
			Not Related Related	
			Study Product 3	
			Not Related Related	
			Study Product 4	
			Not Related Related	

### 4. \*SAE OUTCOME (Check only one)

<input type="checkbox"/> Recovering/resolving		
<input type="checkbox"/> Not recovered/not resolved		
<input type="checkbox"/> Recovered/resolved	Date:	(DD-MMM-YYYY)
<input type="checkbox"/> Recovered/resolved with sequelae	Date:	(DD-MMM-YYYY) State Sequelae:
<input type="checkbox"/> Unknown		
<input type="checkbox"/> Fatal (death)	Date:	(DD-MMM-YYYY)
Autopsy: <input type="checkbox"/> Not Performed <input type="checkbox"/> Performed (Provide Report) <input type="checkbox"/> Planned <input type="checkbox"/> Status Unknown Death Certificate: <input type="checkbox"/> Provided <input type="checkbox"/> Requested <input type="checkbox"/> Not Available <input type="checkbox"/> Status Unknown		

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### 5. **\*STUDY PRODUCT INFORMATION** *(Please include at least one study product; attach more pages if needed)*

Attached

	<b>*Study Product 1</b>	Study Product 2	Study Product 3	Study Product 4
<b>*Study Product Name</b>	<input type="checkbox"/> <i>Blinded</i>	<input type="checkbox"/> <i>Blinded</i>	<input type="checkbox"/> <i>Blinded</i>	<input type="checkbox"/> <i>Blinded</i>
<b>*Dosage, Route of Administration, dosing schedule or frequency</b>				
<b>*Date Started</b> (DD/MMM/YYYY)				
Date Last Taken Prior to SAE Onset (DD/MMM/YYYY)				
<b>*Action Taken With Study Product</b>	<input type="checkbox"/> Withdrawn <input type="checkbox"/> Dose reduced <input type="checkbox"/> Dose increased <input type="checkbox"/> Dose not changed <input type="checkbox"/> Dose interrupted <input type="checkbox"/> Unknown <input type="checkbox"/> Not applicable Comments:	<input type="checkbox"/> Withdrawn <input type="checkbox"/> Dose reduced <input type="checkbox"/> Dose increased <input type="checkbox"/> Dose not changed <input type="checkbox"/> Dose interrupted <input type="checkbox"/> Unknown <input type="checkbox"/> Not applicable Comments:	<input type="checkbox"/> Withdrawn <input type="checkbox"/> Dose reduced <input type="checkbox"/> Dose increased <input type="checkbox"/> Dose not changed <input type="checkbox"/> Dose interrupted <input type="checkbox"/> Unknown <input type="checkbox"/> Not applicable Comments:	<input type="checkbox"/> Withdrawn <input type="checkbox"/> Dose reduced <input type="checkbox"/> Dose increased <input type="checkbox"/> Dose not changed <input type="checkbox"/> Dose interrupted <input type="checkbox"/> Unknown <input type="checkbox"/> Not applicable Comments:
Event Abated After Study Product Use Stopped or Dose Reduced?  *Add date next to Yes, if Yes is selected	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Does not apply Comments:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Does not apply Comments:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Does not apply Comments:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Does not apply Comments:
Event Reappeared After Reintroduction of Study Product?  *Add date next to Yes, if Yes is selected	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Does not apply Comments:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Does not apply Comments:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Does not apply Comments:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Does not apply Comments:

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#### 6. LABORATORY RESULTS *(Please list all relevant laboratory results OR attach copies of the results.)*

Attached

No relevant laboratory tests

<b>Test</b>	<b>Test Date</b> (DD-MMM-YYYY)	<b>Result</b>	<b>Baseline Date</b> (DD-MMM-YYYY)	<b>Baseline Result</b>	<b>Site</b> <b>Normal Range</b> (including units)
		Pending			
		Pending			
		Pending			
		Pending			

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#### **7. DIAGNOSTIC TESTS (e.g. MRI, CT) (Please list relevant test results below OR attach copies of the results.)**

Attached

No relevant diagnostic tests

<b>Test</b>	<b>Test Date</b> (DD-MMM-YYYY)	<b>Results/Comments</b>
		Pending
		Pending
		Pending
		Pending

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#### 8. CONCOMITANT MEDICATIONS (Please include prescription and non-prescription medications or supplements.)

☐ Attached

DO NOT include medications used to treat the SAE.

Medication	Start Date	Stop Date	Total Daily Dose (Include Units)	Frequency/Route	Indication	Suspect?
		Ongoing	Unknown			Yes No
		Ongoing	Unknown			Yes No
		Ongoing	Unknown			Yes No
		Ongoing	Unknown			Yes No
		Ongoing	Unknown			Yes No
		Ongoing	Unknown			Yes No
		Ongoing	Unknown			Yes No

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**9. \*EVENT SUMMARY**

Please write a brief summary of the events surrounding the SAE and include the following, if available:

- Chronological order of clinical course surrounding the SAE
- Associated signs and symptoms
- Participant's past relevant medical history, family history, social history and allergies (for newborn and pregnant participant also include maternal history (obstetric and prenatal))
- Reactogenicity records, current and past (FOR VACCINES ONLY)

Attach additional pages and documents as needed.

☐ Attached

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**10. \*REPORTER INFORMATION AND SIGNATURES**

*(The SAE Form locks after signatures. Please make sure to save this SAE Form before signatures, to be able to reuse for follow up submissions)*

Investigator Name:

Investigator Signature:

Date:

**\*Reporter Name:**

**\*Reporter Signature:**

Date:

Reporter's phone number:

**\*Reporter's Email Address**